

## Arts and Artifacts Indemnity Program International Indemnity

CFDA Number: 45.201  
Funding Opportunity Number: 2012FCAH02

### How to Apply

Please read these instructions carefully before completing your application. Only applications that provide thorough answers to each question can be considered for a Certificate of Federal Indemnity. No indemnification agreement may be entered into unless a completed application has been received in accordance with the provisions of Public Law 94-158 (20 USC 973).

If you have questions about eligibility, the content of your application, or the Indemnity Program, please contact:

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### IMPORTANT NOTE ON IMMUNITY FROM SEIZURE

Public Law 89-259 and Executive Order 12047 authorizes the Department of State to issue notices in the Federal Register to immunize certain cultural objects from seizure by judicial process. The objects must be imported into the United States pursuant to an agreement between the foreign owner or custodian and a US cultural or educational institution for a non-profit exhibition or activity. Prior to publication of the immunity notice, the Department of State must determine that the exhibition of objects is in the national interest, and that the objects are of cultural significance. **The Federal Council strongly recommends applying for this protection for all international exhibitions.** To obtain further information, or to apply for such protection, contact:

Office of the Legal Advisor for Public Diplomacy and Public Affairs  
United States Department of State  
2201 C Street, NW, Room 5103  
Washington, DC 20522-0515  
202-632-6471  
<http://www.state.gov/s/l/c3432.htm>

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## How to Prepare and Submit Your Application for Indemnity

Applicants must submit their applications electronically through Grants.gov, the federal government's on-line application system. The Grants.gov system must receive your validated and accepted application no later than 11:59 pm, Eastern Time, on the deadline date, **April 2, 2012**, for Certificates that may be issued as early as July 1, 2012.

We strongly recommend that you submit at least 10 days in advance of the deadline to give yourself ample time to resolve any problems that you might encounter.

In addition to applying through Grants.gov, applicants must submit one set of visual documentation directly to the Arts Endowment. Details on the visual documentation that is required are provided at the end of these instructions. This material must be postmarked (or show other proof of mailing) no later than April 3, 2012. We provide this extra business day to allow applicants ample time to receive and include with their mailed material a copy of the notification from Grants.gov that confirms their electronic submission and provides the Grants.gov Tracking Number.

## Register or Renew/Verify Registration with Grants.gov

NOTE: Grants.gov has implemented new security requirements for the use of the Grants.gov system. Among the changes, applicants are now required to change their passwords every 90 days. See [www.grants.gov](http://www.grants.gov) for more details.

It is your organization's responsibility to create and maintain a regularly updated registration with Grants.gov. This includes registration with the Central Contractor Registration (CCR), where your organization's information must be renewed annually. Finalize a new or renew an existing registration at least two weeks before the application deadline. This should allow you time to resolve any issues that may arise with Grants.gov or CCR. Failure to comply with these requirements may result in your inability to submit your application.

If your organization is not yet registered, go to Grants.gov's [Get Registered](#). Allow a minimum of two weeks for this multi-step, one-time process. If your organization already has registered, renew your registration with CCR and verify that your registration with Grants.gov is current.

If you have problems with registration:

- CCR Assistance Center: call 1-888-227-2423, send a message through the web site at [www.ccr.gov](http://www.ccr.gov), or see the information posted on the CCR web site at [Help](#).
- Grants.gov Contact Center: call 1-800-518-4726, e-mail [support@grants.gov](mailto:support@grants.gov), or consult the information posted on the Grants.gov web site at [Help](#). The Contact Center is available 24 hours a day, seven days a week.

Maintain documentation (with dates) of your efforts to register or renew at least two weeks before the deadline.

You do not need to complete the registration process to download the application package and to prepare your material. However, you will need your Username and Password that you obtain during the registration process to submit your application.

## Prepare your application materials

1. Verify your software.

**You must have a version of Adobe Reader that is supported by Grants.gov installed on your computer before you download your application package from Grants.gov.**

Non-compatible versions of Adobe Reader or other Adobe products will lead to errors and prevent you from submitting your application. If more than one computer will be involved in the preparation of the application package, ensure that the same version of Adobe Reader is used.

Please go to "[Download Software](#)" to see the compatible versions of Adobe Reader and, where necessary, to download and install the appropriate Adobe Reader software.

2. To apply under the April 2, 2012 deadline, click on the link below to go to the application package on Grants.gov. (The application package for organizations applying under the October 1, 2012 deadline will be available after the April deadline.)

### [DOWNLOAD](#)

**Funding Opportunity Number: 2012FCAH02**

This will bring you to the "Selected Grant Applications for Download" screen.

Download the application package and follow the instructions below. **It is not necessary to download the instructions from Grants.gov as you will merely be directed back to the instructions in this document.**

3. Save the application package (using the "Save" button at the top of the form) to a location on your computer or network where you can find it readily. Save your application each time you work on it. You will get the message "The file already exists. Replace existing file?" Click "yes" to ensure that you always save the most recent version.
4. In the "Mandatory Documents" box, you will see two forms: the Application for Federal Domestic Assistance/Short Organizational Form (SF-424) and the Attachments Form. You must move these two forms to the "Mandatory Documents for Submission" box before you can open and complete them. Once moved, the two forms merge into a single document. You can access each form by clicking on it to highlight it and then clicking on the "**Open Form**" box OR you can scroll down your screen and you will come to each form in succession.

## Instructions for the Application for Federal Domestic Assistance/Short Organizational Form (SF-424)

NOTE: All asterisked (\*) items and yellow fields on this form are required and must be completed before you will be able to submit the form. Items 1 and 2 have been pre-populated. Item 3, *Date Received*, will be filled in automatically with the date that you submit your application; leave this field blank. Item 4 has been pre-populated.

### SF-424 Question 5. Applicant Information:

- a. Legal Name: Enter the legal name of the nonprofit organization or government entity applying for indemnity as it appears in the current IRS 501(c)(3) status letter or in the official document that identifies the organization as a unit of state or local government, or as a federally recognized tribal community or tribe. If an exhibition is being shown at several institutions, one institution should apply on behalf of all participants. The Federal Council requires that the applicant must have previously organized at least one international exhibition.
- b. Address: Use Street 1 for your street address or post office box number, whichever is used for your US Postal Service mailing address. Street 2 should be used only when a Suite or Room Number or other similar information is a necessary part of your address. In the Zip/Postal Code box, enter your full 9-digit zip code (you may look it up at [www.usps.com/zip4/](http://www.usps.com/zip4/)).
- d. Type of Applicant: Select the item that best characterizes your organization from the menu in the first drop down box. Additional choices are optional.
- e. Employer/Taxpayer Identification Number (EIN/TIN): Enter the 9-digit number that was assigned by the Internal Revenue Service; do not use a Social Security Number.
- f. Organizational DUNS: All organizational applicants for federal funds must have a DUNS number, which is recognized as the universal standard for identifying organizations worldwide. The number that you enter here must agree with the number (either 9 or 13 digits) that you used with the Central Contractor Registration as part of the Grants.gov registration or your application will be rejected.
- g. Congressional District: Enter the number of the Congressional District where the applicant organization is located. Use the following format: two-character State Abbreviation-three-character District Number. For example, if your organization is located in the 5th Congressional District of California, enter "CA-005." If your state has a single At-Large Representative or your territory has a single Delegate, enter your two-digit state/territory abbreviation and "-000." If you need help, visit the House of Representatives web site at [www.house.gov](http://www.house.gov) and use the "Find Your Representative" tool.

SF-424 Question 6. Project Information:

- a. Project Title: Enter the title of the exhibition.
- b. Project Description: In two or three sentences, provide a concise description of your exhibition. Include the subject matter of the exhibition, the type of objects in the exhibition (paintings, sculpture, manuscripts, etc.), and the names of the individuals responsible for organizing the exhibition.
- c. Proposed Project Start Date/End Date: Enter the beginning and ending dates for the period for which you are requesting indemnity coverage. The time period of indemnity begins on the date that condition reports are prepared prior to initial packing at the lenders' premises. Coverage includes the time the objects are in transit and while they are on exhibition. Indemnity coverage ends on the date that condition reports are prepared upon return to the lenders' premises or the place designated by the lender.

Note: The Federal Council rarely approves indemnity coverage for a time period greater than two years.

SF-424 Question 7. Project Director: Provide the name of the person responsible for organizing the exhibition. Select a Prefix (e.g., Ms., Mr.) even though this is not a required field.

SF-424 Question 8. Primary Contact/Grant Administrator: Provide the requested information for the individual who should be contacted on all matters involving this application and the administration of any indemnity agreement that may be awarded. Select a Prefix even though this is not a required field. If this individual is the same as the Project Director, you may just check the "Same as Project Director" box.

SF-424 Question 9. Authorized Representative: Enter the requested information for the AOR (Authorized Organization Representative) who will be submitting this application to Grants.gov. Select a Prefix even though this is not a required field. The AOR must have the legal authority to obligate your organization. If the Primary Contact/Grant Administrator is the same as the Authorizing Official, please complete all items under both 8 and 9 even though there will be some repetition.

By clicking the "I Agree" box at the top of Item 9, this individual certifies that:

- The information contained in this application, including all attachments and supporting materials, is true and correct.
- The valuations for the objects to be indemnified are accurate and represent current fair market values to the best of his/her knowledge.
- The dated loan agreements, including US dollar valuations and agreement to Federal indemnity, will be in his/her hands prior to packing and shipping of indemnified items.

The "Signature of Authorized Representative" and "Date Signed" boxes will be populated by Grants.gov upon submission of the application.

## Instructions for the Indemnity Narrative (Attachment 1 of the Attachments Form)

### How to Use the Attachments Form

You will use the Grants.gov Attachments form to attach your Indemnity Narrative. You can create your narrative using any word processing software. When you have completed the document, save it to your computer and convert it to a PDF document before attaching. Please follow these instructions:

1. Label all pages clearly with your organization's legal name and "Indemnity Narrative." Leave a margin of at least one inch at the top, bottom, and sides of all pages. Do not reduce type below 12-point font size. Do not type in all capital letters. Number pages sequentially.
2. Convert your file to PDF (portable document format) before attaching. Using PDFs allows you to preserve the formatting of your document so it can be presented to reviewers exactly as you intend.
3. Name your file before you attach it to the Attachments Form. The name of the file should indicate the name of your organization or a recognizable acronym followed by "Indemnity Narrative" (e.g., "ABCOrganizationIndemnityNarrative.pdf").
4. When you open the Grants.gov Attachments Form, you will find 15 attachment buttons, labeled "Attachment 1" through "Attachment 15." **You will use the Attachment 1 button only.** Leave all remaining Attachment buttons blank. Click on this button and you will be able to choose the file from your computer that you wish to attach.

**Your narrative must address each of the following items. Please use the boldfaced numbers and headings below to organize your response.**

#### **1. Places and Dates of Exhibition**

Include dates and the specific sites, cities, states, and countries where the exhibition will be viewed. If indemnity coverage is requested to begin or end at a site other than the lender's premises, indicate specific sites and dates. If indemnified objects are to be placed in storage between exhibition sites, give dates and the facilities to be used.

The Federal Council generally limits indemnity coverage for a single exhibition to five (or fewer, depending upon the type and condition of the objects) venues. The Federal Council requires that venues for indemnified exhibitions must have successfully hosted at least one previous museum-caliber exhibition. Indemnity should not be requested for inaugural exhibitions in new buildings or substantially renovated spaces.

#### **2. Total Number and Value of Objects to be Indemnified**

- (a) Total current US dollar valuation for all objects for which indemnity is requested.

- (b) Total number and value of foreign-owned objects for which indemnity is requested.
- (c) Total number and value of United States-owned objects for which indemnity is requested.
- (d) Amount of private insurance premium which would be required to cover objects for which indemnity is requested.
- (e) Source of that insurance premium estimate.

### **3. Total Number and Value of the Entire Exhibition**

- (a) Total US dollar valuation for all objects in the entire exhibition (include indemnified and non-indemnified objects).
- (b) Total number of objects in the entire exhibition.

### **4. Exhibition Significance**

State the significance and the educational, cultural, historical, and/or scientific value of the objects to be indemnified and of the entire exhibition in which the indemnified objects will appear. Explain how the risk to the objects is justified by the purposes of the exhibition. Indicate if there will be a catalogue and give the names of the catalogue authors.

If coverage is requested for both foreign and United States-owned objects, provide a statement demonstrating that the foreign-owned objects are integral to the exhibition as a whole. (This information is essential for the Federal Council to evaluate the significance and value of the objects to be indemnified and their relationship to the exhibition as a whole.)

### **5. Packing, Shipping, and Security Arrangements**

Should a Certificate of Indemnity be awarded based on this application, you must notify the Indemnity Administrator **IN ADVANCE** of any changes affecting the time period, list of objects, or packing, shipping, security, and storage arrangements, as stated in this application, so that the Certificate can be amended.

Any changes in arrangements which affect the specifications described in this application should be submitted to the Indemnity Administrator for authorization by the Federal Council. The Council will NOT be responsible for any loss or damage to an indemnified object occurring as a result of an unauthorized change.

Indemnity is intended to cover objects in exhibitions for which the most professional and thorough care in packing, shipping, climate control, and security arrangements is provided. This section is a critical part of the application and will be examined very closely. If coverage is requested for both foreign and United States-owned objects, please describe arrangements for the foreign first, followed by the US. In order for these arrangements to be assessed, it is essential that you state as completely as possible the policies, procedures, techniques, and methods to be employed with respect to the following (use the headings below to organize your response):

- (a) Packing arrangements at premises designated by the lender(s).

Give the names of the institutions and companies responsible for the initial packing and crating of the objects from the location(s) designated by the lenders, and describe the packing specifications.

b) Shipping and security arrangements during transport.

Indicate the shipping and security arrangements for the objects during transport, including the initial assemblage, points of transfer (between sites), and return to the lenders. The Federal Council requires that couriers accompany all shipments, unless exceptions are approved in advance. Include names (or titles) of couriers and the names of primary shipping companies (or their agents) to be used. Confirm that crates will be allowed to acclimatize for at least 24 hours before each unpacking.

(c) Packing and shipping arrangements for especially fragile objects.

For objects considered to be especially fragile and vulnerable to the hazards of travel, such as oversized works, oil on wood panel paintings, or objects comprised of multiple media, specify what special precautions are to be taken with respect to packing, shipping, handling, and installation to minimize the risk of damage.

For oil on wood panel paintings, describe the climate conditions at the lenders' premises and how that environment will be maintained throughout the exhibition tour.

Give values, accurate descriptions of present conditions (including names and qualifications of individuals responsible for making the assessment), and your means of verifying the satisfactory condition of those objects prior to the initial packing for this exhibition.

(d) Condition reports.

Indicate provisions for providing condition reports at the following points, giving the name or title of the person(s) responsible for filing the report:

- 1) Immediately prior to packing and shipping from the location(s) of the lender(s).
- 2) Immediately upon delivery and inspection at each location of borrower(s).
- 3) Immediately prior to packing and shipping from each location of borrower(s).
- 4) Immediately prior to packing and return shipping to location(s) of lender(s).
- 5) Immediately upon delivery and inspection at location(s) of lender(s).

If storage facilities are to be used, indicate provisions for providing condition reports, as appropriate.

All reports in 1) through 5) above must be made during the time period of indemnity.

Actual submission of condition reports to the Federal Council with the application is not required. However, an applicant may choose to provide a condition report for an especially fragile object as evidence of its stability. If a condition report is included with the application for indemnity, please include it here. In the event of a claim for loss or damage to an indemnified object, it is expected that condition reports will be available for the Federal Council's inspection.

Note: If a Certificate of Indemnity is awarded, it is the responsibility of the indemnitee to confirm the stability of the objects for travel and, if necessary, to assure the Federal

Council at any time during the indemnity time period that the condition of the objects corresponds to the initial condition report. This may be accomplished by a reasonably accurate, current photograph or, preferably, by inspection by a qualified staff member of the indemnified institution. If photographs are used they should clearly demonstrate physical condition and be so certified by the lender.

(e) Climate control conditions (at exhibition sites and storage facilities)

Describe the climate conditions at each exhibition site (the specific place in the building where the exhibition will take place) and at each storage facility in terms of:

- 1) Temperature: give degrees and range.
- 2) Relative humidity: give percentages and range.
- 3) Means of controlling the climate.
- 4) Light levels: give range in foot-candles.

Describe what special precautions are to be taken for objects most susceptible to damage as a result of fluctuations or extremes in humidity or temperature (such as wood objects, furniture, etc.).

If any objects are light sensitive, such as works of art on paper, textiles, etc., indicate the estimated number of foot-candles of lighting to which they will be exposed at each site. (The Council recommends that the level not exceed ten foot-candles for such objects.)

(f) Security arrangements (at exhibition sites and storage facilities)

Describe the security arrangements at each exhibition site (the specific place in the building where the exhibition will take place) and at each storage facility, including:

- 1) The number of guards assigned to the exhibition area.
- 2) The number of guards on duty while the exhibition is **not** open to the public (the Council generally requires that there be 24-hour guards for indemnified exhibitions).
- 3) Electronic surveillance.
- 4) Fire protection measures (also indicate if there are wet or dry sprinklers in exhibition galleries and storage areas).
- 5) General installation plans related to security for individual objects, including the use of vitrines, stanchions or pedestals, barriers for unglazed or unvarnished works of art, or any other protective measures not already described.
- 6) Any other pertinent security arrangements.

**SHOULD A CERTIFICATE OF INDEMNITY BE AWARDED FOR THIS EXHIBITION, IT WILL BE BASED ON THE DETAILS PROVIDED IN THIS SECTION. IF AN INDEMNIFIED OBJECT IS LOST OR DAMAGED, INDEMNIFICATION MAY BE INVALID IF SECURITY PROVISIONS ARE DIFFERENT THAN THOSE STATED IN THIS APPLICATION.**

If a Certificate of Indemnity is awarded, each participating institution must submit to the Indemnity Administrator a letter from the Chief of Security of the institution, endorsed by its Director, within 30 days of the opening of the indemnified exhibition at that site. This letter must certify that security arrangements are still as stated in the approved application.

(g) Indicate the maximum value of indemnified objects to be transported in a single instrumentality of transportation.

The Federal Council permits a maximum of \$80 million per instrumentality of transportation. However, in special circumstances, the Council may limit the amount allowable to **less than** \$80 million.

(h) Indicate if the organizer of the exhibition has applied (or intends to apply) for immunity from judicial seizure through the US Department of State, and for CITES permits, if applicable, from the Fish and Wildlife Service, US Department of the Interior.

## **6. Other Insurance Arrangements**

The Arts and Artifacts Indemnity Act provides for a:

- \$15,000 deductible for exhibitions of up to \$2 million in value.
- \$25,000 deductible for exhibitions up to \$10 million in value.
- \$50,000 deductible for exhibitions up to \$125 million in value.
- \$100,000 deductible for exhibitions up to \$200 million in value.
- \$200,000 deductible for exhibitions up to \$300 million in value.
- \$300,000 deductible for exhibitions up to \$400 million in value.
- \$400,000 deductible for exhibitions up to \$500 million in value.
- \$500,000 deductible for exhibitions above \$500 million.

There is a limit of \$1.2 billion coverage per exhibition.

Please state the insurance or other arrangements including the name(s) of insurance companies to cover the deductible and any amount in excess of the amount to be indemnified.

## **7. Financial Arrangements**

(a) List the sources of assured or anticipated support to be used to cover the general expenses of organizing the exhibition. If you anticipate significant corporate sponsorship for the exhibition and have related commercial agreements or understandings, please describe or attach copies of such agreements.

(b) Describe the nature and amount of any loan fees or other contractual arrangements (in excess of \$10,000 for the entire exhibition), including retail agreements, with lenders to the exhibition or with foreign governments representing lenders.

## **8. Previous Losses**

Describe all losses over \$5,000 to permanent or loaned collections (whether or not a claim was filed) incurred by the applicant and each participating institution during the three years prior to submitting this application. Similar losses at storage facilities during the past three years must be documented and explained. For each loss include:

- (a) Date of loss/damage.
- (b) Nature and cause of loss/damage.
- (c) Appraised value(s) of lost/damaged item(s) before and after loss.
- (d) Indication of whether there was litigation to determine blame or negligence.

Note: Should additional losses be incurred by the applicant or any of the participating institutions after this application is submitted, please notify the Indemnity Administrator to update this section.

## **9. Accreditation**

State whether or not the applicant institution and participating institutions are accredited by the American Association of Museums. Accreditation is not required in order to receive an indemnity. However, if an applicant or participating institution is not accredited, the Federal Council reserves the right to request a statement from the institution describing its procedures for handling loss or damage.

## **10. Exhibitions Outside the United States**

The Arts and Artifacts Indemnity Act permits objects from the United States to be indemnified while on exhibition outside this country, preferably when they are part of an exchange of exhibitions between a foreign country and the US.

For exhibitions from the US on exhibition abroad, priority will be given: 1) if an exchange of exhibitions is planned, and 2) if the responsibility for indemnity will be shared between the US and foreign institution(s). An exchange of exhibitions can be conceived and planned concurrently by the US and foreign institutions, or created in response within five years (i.e., the time lapse between the end of the first exhibition and the beginning of the second should not exceed five years). Both exhibitions should be of comparable importance.

- a) If this exhibition will take place outside the US, please describe in detail the nature of the exchange, including details of the exhibition being shown in the US and any other insurance arrangements.
- b) If no exchange is planned, do the country(ies) in which this exhibition is to be shown have indemnity programs?

If a single exhibition contains objects both from the US and from abroad, and that exhibition is to be shown at sites both in the US and abroad, indemnity can be requested for the foreign and United States-owned objects while on exhibition in the US, and for the US-owned objects while on exhibition abroad. However, priority for coverage will be given to joint exhibitions in which the responsibility for indemnity is shared by the US and foreign institutions.

- c) If this application is for coverage of a single exhibition, with foreign and United States-owned objects on exhibition in the US, and US-owned objects on exhibition abroad, include a statement to that effect in Question 6(b) of SF-424, and:

- i. Include all relevant application information (i.e., places and dates of exhibition; packing, shipping, security information; provision of condition reports, etc.) for all venues in the appropriate application questions.
- ii. Include Question 12 (Object Identification and Valuation of Foreign-Owned Objects) for coverage of foreign-owned objects while on exhibition in the US; and Question 13 (Object Identification and Valuation for United States-Owned Indemnified Objects) for coverage of the US-owned objects while on exhibition in the US and abroad.

Note: Federal indemnity under these guidelines does not cover exhibitions consisting solely of US-owned objects on exhibition in this country, or solely of foreign-owned objects on exhibition abroad.

### **11. Third Party Opinions**

It is the responsibility of the applicant to have lender values reviewed by experts other than members of the applicant or lending institution’s staff or anyone else directly involved with the exhibition. If the expert agrees with the lender value or believes the lender values are lower than fair market values, this should be stated in the application or in an accompanying letter. If the expert determines that any lender values are inflated or overstated, a lower amount should be suggested. An expert may be, for example, a curator, an auction house staff member or a dealer. If an exhibition contains works of mixed media and periods, it may be necessary to consult more than one expert. Give the name and title for each expert consulted and a brief statement that establishes his or her expertise and qualifications. This information will be used in conjunction with Questions 12, 13, and 14.

### **12. Object Identification and Valuation of Foreign-Owned Objects**

Provide a numbered list of all foreign-owned objects for which indemnity is requested. Your document must follow this format:

| Number | Object Type | Object Description | Lender | 1) Lender Value (US Dollar)<br>2) 3 <sup>rd</sup> Party Opinion | Sources of Valuations |
|--------|-------------|--------------------|--------|---|-----------------------|
|        |             |                    |        |   |                       |

Include the following information for each object to be indemnified:

- (a) Number: Start with number one. The number here must correlate to the number of this object in the visual documentation that you submit.

- (b) Object Type: Painting, drawing, photograph, ceramic, sculpture, furniture, jewelry, clothing, scientific instrument, manuscript, etc. (Indemnity does not cover frames or cases unless specifically itemized.)
- (c) Object Description: Artist, title, date, medium, support, dimensions, and accession number of the lending institution. If the borrower does not agree with the lender's attribution to an artist, please so indicate.

Indicate if an object contains more than one part (e.g., a pair of earrings). If an object is described as a pair or set, it is the responsibility of the applicant to advise the lender of the Council's policy regarding loss of a part of a pair or set (see Clause 7 of the Certificate of Indemnity).

In addition:

- For *collages*, identify the medium of each collage element.
- For *manuscripts*, give the number of pages, and the number and size of illuminations or illustrations.
- For *books*, give the date of the edition and, if possible, the rarity (i.e. the number of known copies), and describe any annotations or associations.
- For *prints*, give the date of the print, indicate if the print was made by the artist, and indicate if it is the only state, or which state it is, if known.
- For *photographs*, give the date of the print, and indicate who made the print.
- For *oversized three-dimensional works of art*, give the weight of each object.

Note: For reasons of risk, the Federal Council is generally opposed to indemnifying oil on copper paintings, pastels, lacquer objects, certain types of glass (including enamels), works on parchment or vellum, marquetry, frescoes, and other fragile objects. Oversized works and oil on wood panel paintings will be reviewed on a case by case basis. (For oil on wood panel paintings, the Council expects detailed answers to Question 5 (c).) The Council may determine that these objects be moved to the list of non-indemnified objects. Oil on single wood panels larger than two feet by three feet will not be indemnified.

- (d) Lender: **Full name** of the lender and the institution or individual's city and country of residence. Private lenders must be identified by name, city, and country of residence. Any objects owned by a curator of this exhibition must be listed under Question 14 "Identification of Non-Indemnified Objects."
- (e) US Dollar Valuation: Since Federal indemnity claims can be paid only in US dollars, all foreign currency valuations must be converted into US dollars. Those reasonable currency fluctuations anticipated to occur during the indemnity time period should be reflected and justified in the valuations. The list of objects and valuations is included in the Certificate of Indemnity and will be the basis for determining the amount of a claim payment. On this form, include: 1) lender value (rounded to the nearest dollar); and 2) third party opinion on the lender value (see (f) below).

- (f) Sources of Valuation: Include 1) name and title of individual originating the valuation (owner, lender, etc.); and 2) name and title of the third party confirming the original valuation (appraisal expert, curator, museum director, etc.).

### **13. Object Identification and Valuation of United States-Owned Objects**

Using the instructions for Question 12, provide a separate list, beginning with number one, to describe the United States-owned objects for which indemnity is requested.

Note: Objects owned by the applicant or participating institutions may not be indemnified while on exhibition at the lender's premises. Such objects may be included in Question 13 for coverage while in transit or while on exhibition at other participating institutions.

### **14. Identification of Non-Indemnified Objects**

This information is necessary for the Federal Council to determine the educational, cultural, historical, or scientific value of the exhibition as a whole. Using the formatting instructions for Question 12, provide a separate list, beginning with number one, of all objects to be included in the exhibition for which indemnity is **not** requested. For (d) Lender: if a private lender does not wish to be identified, indicate "private lender." For (e) OPTIONAL: US Dollar Valuation: applicants are strongly encouraged to provide US dollar valuations for any or all objects in this section. This information is reviewed to help confirm values given in Questions 12 and 13. Applicants who do not furnish US dollar valuations for non-indemnified items may be requested to do so.

## **Submit Your Electronic Application**

1. Check the size of your electronic application. The total size should not exceed 10 MB.
2. To begin the submission process, click the "**Save & Submit**" button. [This button will not become active (and turn from light to dark gray) until you have saved your application with all required fields completed. Clicking this button will prompt you to save your application package one last time. When asked if you want to replace the existing file, click "Yes." You will then be reconnected to Grants.gov and the Internet.] **You will be prompted to provide your Grants.gov Username and Password that you obtained during registration.**
3. Click the "**Login**" button. This will bring you to the "Application Submission Verification and Signature" screen, which provides a summary of the Funding Opportunity for which you are applying. Click the "Sign and Submit Application" button to complete the process. **Be certain that you are satisfied with your application before you click this button. No revisions to your application are possible through Grants.gov once it is submitted.**

If you have difficulty submitting, go to [Adobe Reader Error Messages](#) or [Applicant Resources](#) for several tools and documents to help you.

4. Ensure that your application was validated and accepted by the Grants.gov system. Go to [Track My Application](#) to track the validation and progress of your application submission through Grants.gov.

You cannot make any revisions to your application through Grants.gov once it is submitted. After the application is submitted, you must inform the Indemnity Administrator directly of any changes.

### **Application Material to be Submitted by Mail**

In addition to the material that you submit through Grants.gov, you must mail the following items to the Arts Endowment. Your application package will not be considered complete without these items:

1. An identifier so that we can match your mailed material with your electronic application. This may be a copy of the Submission Confirmation or validation e-mail from Grants.gov that includes your **Grants.gov Tracking Number** (preferred) or a sheet with your organization's legal name as it appears on your electronic application (not your popular name). Be sure that this is the first item in your mailed material.
2. One set of photographs or color photocopies of each object for which indemnity is requested. Clearly label and number the visuals to correspond to the numbers that you used in the lists for Questions 12 and 13.  
Note: the images should be of the highest quality and clarity possible, showing the objects to be indemnified to the best advantage as part of the application review.
3. A CD of up to twelve images in JPEG file format that shows highlights of the exhibition. We will not accept slides. A brief script, with a few sentences about each object, must be attached to the disc, with the numbers used in Questions 12 and 13. Digital images on CD:
  - Must be in JPEG format. Do not submit TIFFs, PDFs, Word, or Access files, or any other non-JPEG formats.
  - Must not exceed 2 MB per image.
  - Should have a maximum image size of 800 pixels x 600 pixels.
  - Must be in MS Windows readable format.

Preview your samples before submitting them to ensure that there are no technical problems that might interfere with the review of your work. The Arts Endowment may copy or digitally convert work samples to facilitate review. By submitting these images, you are giving the Arts Endowment permission for reproduction and dissemination for this purpose.

Label your package as noted below. Mailed material must be postmarked (or show other proof of mailing) no later than April 3, 2012 (for the April 2 deadline).

Alice M. Whelihan  
Indemnity Administrator  
National Endowment for the Arts  
1100 Pennsylvania Avenue, NW  
Washington, DC 20506

Include on your package a complete return address that includes your organization's legal name as it appears on your electronic application (not your popular name). If the delivery service that you use requires a telephone number for the recipient on the label, use 202/682-5574.

The National Endowment for the Arts continues to experience delays and damage to support materials (e.g., CDs, photographs) in the delivery of First-Class and Priority mail through the United States Postal Service (USPS). We recommend that you use a commercial delivery service.

Visual material will be returned upon request.

**+++++Reporting Burden+++++**

The public reporting burden for the collection of information is estimated to average 45 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The Federal Council on the Arts and the Humanities welcomes any suggestions that you might have on improving the instructions and making them as easy to use as possible. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Alice M. Whelihan, at the address above. Note: applicants are not required to respond to the collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number.